



2653

30

#4  
MDS  
9.14.04

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Naoya Hasegawa et al.

Appln. No.: 09/900,992

Filed: July 9, 2001

For: EXCHANGE COUPLING FILM  
AND MAGNETORESISTIVE  
ELEMENT USING  
THE SAME

Attorney Docket No: 9281-3995

Examiner: Christopher R.  
Magee

Art Unit: 2653

RECEIVED

SEP 09 2004

Technology Center 2600

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

No.	Date of Publication	Country
11-191647	07/1999	Japan
2000-150235	05/2000	Japan

For the Examiner's convenience, Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

Date

*07/31/04*



---

Gustavo Siller, Jr. (Reg. No.32,305)

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: 9/3/04 Name: Gustavo Siller, Jr.

Signature:

**BRINKS  
HOFFER  
GILSON  
& LIONE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Naoya Hasegawa et al.

Appln. No.: 09/900,992

Examiner: Christopher R.  
Magee

Filed: July 9, 2001

Art Unit: 2653

For: EXCHANGE COUPLING FILM AND  
MAGNETORESISTIVE ELEMENT USING  
THE SAME

**RECEIVED**

SEP 09 2004

Attorney Docket No: 9281-3995

Technology Center 2600

[Choose Mail Stop or blank line]

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

**TRANSMITTAL**

Sir:

**Attached is/are:**

- Transmittal Letter (in duplicate); Supplemental Information Disclosure Statement; PTO-1449; Copy of Japanese Office Action; Copy of cited references  
 Return Receipt Postcard

**Fee calculation:**

- No additional fee is required.  
 Small Entity.  
 An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
 A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_.)  
 An additional filing fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Small Entity		Not a Small Entity		
					Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$	Total	\$	

**Fee payment:**

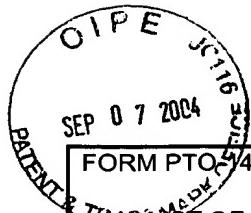
- A check in the amount of \$\_\_\_\_\_ is enclosed.  
 Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
 The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Date

9/3/04

Gustavo Siller, Jr. (Reg. No. 32,305)



FORM PTO-1449 <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (use several sheets if necessary)	SERIAL NO. 09/900,992	CASE NO. 9281-3995
	<b>FILING DATE</b> July 9, 2001	<b>GROUP ART UNIT</b> 2653
	<b>APPLICANTS:</b> Naoya Hasegawa et al.	

**REFERENCE DESIGNATION**      **U.S. PATENT DOCUMENTS**

**RECEIVED**

SEP 09 2004

# Technology Center 2600

## **FOREIGN PATENT DOCUMENTS**

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;  
Draw line through citation if not in conformance and not considered. Include copy of this form with  
next communication to applicant.